## LUNA: Nuclear Astrophysics Deep Underground

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Abstract Nuclear astrophysics strives for a comprehensive picture of the nuclear reactions responsible for synthesizing the chemical elements and for powering the stellar evolution engine. Deep underground in the Gran Sasso laboratory the cross sections of the key reactions of the proton-proton chain and of the Carbon-Nitrogen-Oxygen (CNO) cycle have been measured right down to the energies of astrophysical interest. The salient features of underground nuclear astrophysics are summarized here. The main results obtained by LUNA in the last twenty years are reviewed, and their influence on the comprehension of the properties of the neutrino, of the Sun and of the Universe itself are discussed. Future directions of underground nuclear astrophysics towards the study of helium and carbon burning and of stellar neutron sources in stars are pointed out.

### CONTENTS

Introduction																													2
mulation	•	•	•	•	•		•	•	•	•	•	•	•	•	•	•	•	•			•	•	•	•	•	•	•		~

Thermonuclear reactions	3
Underground nuclear astrophysics	4
Laboratory background	4
Beam induced background	5
LUNA at Gran Sasso	6
Experimental apparata at LUNA	6
Accelerators	6
Targets and ancillary measurements	7
Detectors	8
Hydrogen burning in the Sun	8
proton-proton chain	9
Carbon-Nitrogen-Oxygen cycle	10
Neutrinos	10
Hydrogen burning studied at LUNA	11
$^2H(p,\gamma)^3$ He: the energy source of the proto-star	11
$^{3}$ He $(^{3}$ He,2p $)^{4}$ He: in search of the resonance	12
$^{3}He(^{4}He,\gamma)^{7}Be: solar neutrino oscillations$	12
$^{14}N(p,\gamma)^{15}O$ : the composition of the Sun and the age of the Universe	13
Ongoing measurements	14
Outlook	15
Conclusions	16

## 1 Introduction

The stars which like diamonds fill the sky at night fascinating our mind are not perfect and everlasting bodies as believed by the ancient philosophers. On the contrary, gravity triggers the birth of a star which then works as a more or less turbulent chemical factory (1) to finally die out in a quiet or violent way, depending on its initial mass (2). As a matter of fact, only hydrogen, helium and lithium are synthesized in the first minutes after the big-bang. All the other elements of the periodic table are produced in the thermonuclear reactions taking place inside the stars, where the nuclear roots of life itself are embedded in (3,4). The aim of nuclear astrophysics is to reach a comprehensive picture of all these reactions which realize the transmutation of the chemical elements and which provide the energy to run the engine of stellar evolution (5).

The knowledge of the reaction cross-section at the stellar energies lies at the heart of nuclear astrophysics. At these energies the cross sections are extremely small. Such smallness makes the star life-time of the length we observe, but it also makes impossible the direct measurement in the laboratory. The rate of the reactions, characterized by a typical energy release of a few MeV, is too low, down to a few events per year, in order to stand out from the background. LUNA, Laboratory for Underground Nuclear Astrophysics, started twenty years ago to

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run nuclear physics experiments in an extremely low-background environment: the Gran Sasso Laboratory. Since you cannot distinguish the timbre of a piano note in a crowded circus, but you can do so if you are in a music hall, then the LUNA physicists are tuning their accelerators and detectors in the 'music hall' deeply inside the mountain 'to listen' to the tiny signal from nucleo-synthesis reactions, reproducing this way in the laboratory what Nature makes inside the stars.

In this review the main features of thermonuclear reactions at very low energy, the characteristics of the background attainable in Gran Sasso and the experimental apparata employed by LUNA will first be described. Then, an overview of hydrogen burning in stars will be given and the LUNA main results will be discussed, with emphasis on their impact on the picture of the Sun and of the neutrino. Finally, the next steps of underground nuclear astrophysics, mainly devoted to the study of the helium and carbon burning and of the neutron sources in stars, will be outlined.

## 2 Thermonuclear reactions

Thermouclear reactions between nuclei occur inside the star in a relatively narrow energy window, placed at an energy much lower than the height of the barrier arising from the Coulomb repulsion between nuclei. As a consequence, the reaction can only take place owing to the quantum mechanical tunnel effect which leads to a very small, but not vanishing, probability for the incoming nucleus to penetrate the Coulomb barrier and to reach its reaction partner.

Because of the tunnel effect, at these energies the reaction cross-section  $\sigma(E)$  drops almost exponentially with decreasing energy E:

$$\sigma(E) = \frac{S(E)}{E} \exp(-2\pi \eta) \tag{1}$$

where S(E) is the so-called astrophysical S-factor and  $2 \pi \eta = 31.29 Z_1 Z_2 (\mu/E)^{1/2}$ .  $Z_1$  and  $Z_2$  are the electric charges of the nuclei,  $\mu$  is the reduced mass (in a.m.u.), and E is the energy (in keV) in the center of mass system (2,3). For most of the reactions, the astrophysical S-factor varies only slowly with energy and contains all the nuclear physics information.

The reaction rate in the hot plasma of a star, with temperatures in the range of tens to hundreds of millions Kelvin, is obtained by weighting the reaction cross section  $\sigma(E)$  with the energy distribution of the colliding nuclei: a Maxwell-Boltzmann  $\phi(E)$  peaked at energies of 1-10 keV. The product between  $\sigma(E)$  and  $\phi(E)$  identifies the energy window where the reactions occur in the star: the Gamow peak. At lower energies the cross section is too small whereas at higher energies the nuclei in the tail of the Maxwell-Boltzmann are too few. Finally, the energy balance of the nuclear reaction is determined by the Q-value, which corresponds to the mass difference between the entrance and exit channels.

In order to obtain the precise nuclear physics data required by modern astrophysics one should measure the relevant reaction cross section directly at the energy of the astrophysical scenario to be studied. For the solar reactions the cross-section values at the Gamow peak range from picobarn to femtobarn and even below (1 barn =  $10^{-24}$  cm<sup>2</sup>). These extremely low cross sections, while trying the patience of the physicists with ultra-low count rates, are a blessing to mankind in general, because they allow hydrogen burning to proceed in the Sun at a placid pace for several billions of years to come.

However, the low count rates pose another experimental problem: in direct laboratory measurements at the Earth's surface the signal to background ratio is too small. So, instead of a direct measurement, the observed energy dependence of the cross-section at high energies is extrapolated to the low energy region. However, any extrapolation is fraught with uncertainty. For example, there might be narrow resonances at low energy or even resonances below the reaction threshold that influence the cross section at the Gamow peak. Those effects cannot always be accounted for by an extrapolation.

In addition, another effect can be studied at low energies: electron screening (6,7,8,9). In the laboratory, the electron cloud surrounding the target nucleus partially screens its positive electric charge. This reduces the height of the Coulomb barrier, thus increasing the tunneling probability and eventually the reaction cross-section. The screening effect has to be taken into account in order to derive the cross-section for bare nuclei, which is the input data to nucleosynthesis network codes. These codes, in turn, have to take into account the screening by electrons in the stellar plasma (6).

All these effects mean that, despite the impressive-sounding temperatures of many millions Kelvin in stellar interiors, actually the study of the star requires nuclear physics experiments at very low energy, measuring exceedingly small cross sections.

## 3 Underground nuclear astrophysics

For stable stellar hydrogen burning, the relevant temperatures range from 20 to  $100 \cdot 10^6$  K, corresponding to Gamow peak energies of  $10{\text -}50\,\text{keV}$ , depending on the precise reaction of interest. The challenge of the measurement at the Gamow peak is to suppress the laboratory and beam induced background and then to enhance the signal by boosting the beam intensity, target density, and detection efficiency.

#### 3.1 Laboratory background

The laboratory  $\gamma$ -background has two main sources: natural and cosmic-ray induced radioactivity. The decay of radioisotopes from the natural decay chains are evident with many characteristic  $\gamma$ -lines in the region below 2.6 MeV, where the highest energy  $\gamma$  due to natural radioactivity is found. Figure 1 illustrates the different steps in background reduction that are necessary for nuclear astrophysics experiments.

From a naked detector to a commercially available graded shield (10), including 10 cm lead, there is already a sizable reduction. This is due to the suppression of the soft component of cosmic-rays and of the  $\gamma$ -rays from nearby radioiso-

topes. By going to a shallow underground laboratory at 100 m.w.e. (meters water equivalent) and applying a more sophisticated shield, another reduction is possible (11). At this point the remaining background is dominated by muon induced events.

These muon induced events stem from the decay of the radioactive nuclei due to muon spallation and to the capture of stopping negative muons, from the inelastic scattering and capture of the muon induced neutrons, from the energy loss of muons passing through the detector. The prompt effects of the muons can be reduced by surrounding the detector with a veto counter. On the contrary, the muon induced radioactivity may have a relatively long life-time (1-10 s), so a veto counter will not help against it. Instead, this remaining background can be overcome by moving to a deep underground laboratory (12,13), where the muon flux is strongly reduced (e.g. by six orders of magnitude (14) at the 3800 m.w.e. deep Gran Sasso laboratory).

At higher  $\gamma$ -ray energies, i.e.  $E_{\gamma} > 2.6 \,\mathrm{MeV}$ , these considerations become more transparent. In this  $\gamma$ -energy region no significant improvement in background can be reached by applying an additional lead shield. Instead, going to a shallow-underground laboratory helps somewhat, and a muon veto reduces the background. When going deep underground, the muon flux becomes negligible, and the veto does not further reduce the background counting rate. A further reduction below this very low  $\gamma$ -background observed at LUNA (15,16) can in principle be achieved by shielding the set-up against neutrons. The neutron flux in Gran Sasso is already reduced by three orders of magnitude as compared to the outside and it is due to the  $(\alpha, n)$  reactions in the rock (17).

For the sake of completeness, we remind that nuclear astrophysics is just one of the fields explored deep underground. The salient features of underground physics have been reviewed in the past (18). Major underground activities are the detection of neutrinos generated by the hydrogen burning in the Sun (19,20), by the radioactivity in the Earth (21) and by cosmic-rays in the Earth's atmosphere (22), the search for rare processes such as neutrinoless double- $\beta$  decay (23), proton decay (24) and dark matter interactions (25). Recently a compendium of major facilities has been presented (26).

## 3.2 Beam induced background

In any experiment with an ion beam, the beam interacts also with nuclei other than the target to be studied. Such nuclei could be found in the beam transport system (mainly beam limiting apertures, but also drift tubes, magnets and residual gas) but also as parts of the target itself. Such interactions can give rise to  $\gamma$ -ray background.

This ion beam induced background is clearly independent of the underground depth and must be dealt with by reducing the inventory of materials hit by the ion beam and by appropriate precautions to eliminate particularly worrisome components. For low-energy nuclear astrophysics experiments this task is greatly facilitated by two aspects. Firstly, contaminants with atomic number greater than the target to be studied will generally have lower interaction probability

than the target itself because of their higher Coulomb barrier. Many experiments study light nuclei of  $Z \leq 8$ , so common materials such as aluminum or steel will generally not give background. Secondly, the otherwise commonly found activation of beamline components is not an issue with low-energy proton or  $\alpha$ -beam. In cases where the background still plays a role, it must be carefully identified, localized (15, e.g.) and eliminated.

## 3.3 LUNA at Gran Sasso

There are several possible techniques to measure cross sections at the Gamow peak. All of them have so far been pursued just in one laboratory: LUNA.

For nuclear reactions where charged particles are emitted, generally an inbeam measurement at the surface of the Earth is possible. This is true except for cases where coincident background due to cosmic rays is a problem. If so, only an underground measurement is feasible, as it has been demonstrated for the  ${}^{3}\text{He}({}^{3}\text{He},2p){}^{4}\text{He}$  reaction (27,28).

For nuclear reactions where only  $\gamma$ -rays are emitted, there are in principle two approaches. In-beam  $\gamma$ -spectrometry deep underground has been applied to the studies of the  ${}^{2}\text{H}(p,\gamma){}^{3}\text{He}$  (29),  ${}^{14}\text{N}(p,\gamma){}^{15}\text{O}$  (30, 31, 32, 33, 34),  ${}^{3}\text{He}({}^{4}\text{He},\gamma){}^{7}\text{Be}$  (35, 36) and  ${}^{15}\text{N}(p,\gamma){}^{16}\text{O}$  (37) reactions.

The in-beam approach has to take some uncertainty into account due to the usually not well known angular distribution of the emitted  $\gamma$ -rays. This weakness can be overcome in selected cases where the created nucleus is radioactive, thus allowing an independent cross-check. An activation study with deep underground activity-counting has been performed in the study of  ${}^{3}\text{He}({}^{4}\text{He},\gamma){}^{7}\text{Be}$  (38,39). All these approaches benefit from the reduced background deep underground.

## 4 Experimental apparata at LUNA

The measurement of the cross section and the determination of the astrophysical S-factor for thermonuclear reactions require an experimental apparatus basically composed of an accelerator, a target and a detection system.

#### 4.1 Accelerators

Two different accelerators have been used at LUNA: a compact 50 kV "homemade" machine (40) and a commercial 400 kV one (41). Common features of the two accelerators are the high beam current, the long term stability and the precise beam energy determination. The first feature is required to maximize the reaction rate, the second is due to the long time typically needed for a cross section measurement, while the third is important because of the exponential-like energy dependence of the cross section.

The 50 kV machine was designed and built at the Ruhr Universität in Bochum and then moved to Gran Sasso. It consisted of a duoplasmatron ion source, an ion beam extraction and acceleration system and a double-focusing 90° analysing magnet. Its compact shape optimized the beam transmission. All in all the

machine (40) was able to deliver beams of protons,  ${}^{3}\text{He}^{+}$  and  ${}^{4}\text{He}^{+}$  of 300-500  $\mu\text{A}$  at energies between 10 and 50 keV with an energy spread of less than 20 eV and a long term stability. This allowed the study of two fundamental reactions of the p-p chain:  ${}^{3}\text{He}({}^{3}\text{He},2\text{p}){}^{4}\text{He}$  and  ${}^{2}\text{H}(\text{p},\gamma){}^{3}\text{He}$  at solar Gamow peak energies and of the screening effect in the  ${}^{2}\text{H}({}^{3}\text{He},\text{p}){}^{4}\text{He}$  reaction.

Even though it produced outstanding results (28,29,42), this machine should be considered as a pilot project towards a higher energy facility, namely the 400 kV accelerator (41) shown in Fig. 2. This electrostatic accelerator is embedded in a tank filled with a mixture of N<sub>2</sub>/CO<sub>2</sub> gas at 20 bar, working as insulator. The high voltage is generated by an Inline-Cockroft-Walton power supply located inside the tank. The radio-frequency ion source, directly mounted on the accelerator tube can provide beams of 1 mA hydrogen and 500  $\mu$ A He<sup>+</sup> over a continuous operating time of 40 days. The ions can be sent into one of two different and parallel beam lines, this way allowing the installations of two different target setups. In the energy range 150-400 keV, the accelerator can provide up to 500  $\mu$ A of protons and 250  $\mu$ A of alphas at the target stations, with 0.3 keV accuracy on the beam energy, 100 eV energy spread and 5 eV/h long-term stability. Finally, the accelerator is controlled by PLC-based computers which allow for a safe operation over long periods without an operator present in situ.

## 4.2 Targets and ancillary measurements

LUNA measurements have been performed either with solid or gas targets. Solid targets may contain a larger number of atoms per cm<sup>2</sup> with respect to gas targets: typical areal densities are in the range  $2 \cdot 10^{17} - 2 \cdot 10^{18}$ . They also allow for the measurement of the cross-section angular dependence since the beam-hit position on the target can be rather precisely determined. Gas targets, instead, are more stable to beam bombardment and may reach an extreme purity, essential to minimize the beam induced background. In this case areal densities used in LUNA are between  $5 \cdot 10^{16}$  and  $1 \cdot 10^{18}$ . While for solid targets LUNA has taken advantage of well known production techniques such as implantation, evaporation or sputtering (31), for gas targets a specific set-up, consisting of a windowless gas target (i.e a differentially pumped target) with recirculation system (43,39), has been designed and installed at Gran Sasso and is partially shown in Fig.3.

A typical disadvantage of a gas target is the so called "beam heating" effect, due to the power deposition which gives rise to the heating and the thinning of the gas along the beam path. Different techniques are necessary to obtain the real number of atoms per cm<sup>2</sup> in the beam region. A specific set-up based on the Rutherford scattering was designed (44) and it allowed for a systematic uncertainty on the gas density determination due to the beam heating effect below 2% (38). Alternatively, the target thickness along the beam can be obtained by the energy shift of a proper well known resonance (45, 33).

Solid targets, in turn, may rapidly deteriorate with the intense beam impinging onto, thus changing the density profile which is an essential ingredient of the reaction rate. In order to monitor the target thickness and its stability under beam, the resonance scan technique may be used. This consists in selecting a

resonance of the reaction to be studied or of a parasitic reaction and measuring the yield profile as a function of the beam energy. The selected resonance should be characterized by an energy width smaller or equal to the target thickness. The target thickness as well as the maximum yield can be repeatedly monitored during the whole measurement time.

For the cross section measurement it is essential to know the beam current on target. While for a solid target the current is measured through a conventional Faraday cup, for a gas target this is not possible due to the ion charge state changes (46). Therefore, LUNA has developed a beam calorimeter (43) with a constant temperature gradient between a hot and a cold side. The power to the hot side is provided by the beam and by resistors embedded in the end-cap of the calorimeter. The number of projectiles is then obtained by the difference in heating powers dissipated by the resistors with and without beam divided by the kinetic energy of the projectiles themselves at the calorimeter surface. If properly calibrated, the calorimeter can give a systematic uncertainty of the order of 1% on the beam current (43). Calorimeter calibration is an example of the measurements done in laboratories at the Earth surface and important to complete and integrate the results obtained underground.

#### 4.3 Detectors

Apart from the <sup>3</sup>He(<sup>3</sup>He,2p)<sup>4</sup>He reaction where protons had to be detected and this was accomplished by commercial silicon detectors as single stage or  $\Delta E$ -E telescopes, all the other LUNA measurements required the detection of  $\gamma$ -rays. Here the choice of the most suitable detector depends on the desired physical information. The  $4\pi$  BGO summing crystal used at LUNA (43), a cylinder 28 cm long with a 20 cm diameter and a 6 cm bore-hole, can reach an efficiency as high as 70% for 7 MeV  $\gamma$ -ray thus allowing the measurement of extremely low reaction yields. As a counterpart, the BGO energy resolution is very poor and does not allow measurements of cascades and branching ratios to different levels since most of the  $\gamma$  ray transitions are summed in a single peak. With a germanium detector the efficiency dramatically decreases to the level of a few permille but the energy resolution is much better thus allowing to disentangle complex gamma cascades. Moreover, angular distribution measurements are possible by moving the detector to different angles with respect to the ion beam. Nevertheless, summing effects can disturb the data evaluation for close geometry configurations. This can be addressed by using a composite detector like a Clover (47), i.e. 4 small germanium detectors closed inside the same cryostat, this way reducing summing-in corrections.

## 5 Hydrogen burning in the Sun

As the solar mass contracted from an initially large gas cloud, half of the gravitational energy released has been radiated into the space and half converted into kinetic energy of the hydrogen and helium nuclei, thus increasing the temperature of the system (2). This way the solar mass was loosing energy, contracting

and heating up. If gravitational energy were the only energy source then the Sun would have ended its life when only 30 million years old, as estimated by Lord Kelvin in the 19th century. On the contrary, at the central temperature of about 10 million degrees the kinetic energy of the hydrogen nuclei was high enough to penetrate with significant probability the Coulomb barrier and to switch on the hydrogen burning:  $4^1H\Rightarrow^4He+2e^++2\nu_e$ , i.e. the fusion of hydrogen into helium with the production of positrons and neutrinos. The mass of the helium nucleus is lower then 4 times the proton mass, as a consequence about 0.7% of the hydrogen rest mass is converted into energy in each of the transmutations.

Hydrogen fusion supplies the energy necessary to halt the contraction and it provides all the energy required for the long and quiet life of the star. The Sun is a middle-aged main sequence star which shines by burning hydrogen fuel. It started burning hydrogen about 4.5 billion years ago and, in about 5 billion years, it will burn helium to finally end-up as a celestial body mainly consisting of carbon and oxygen. In the central region of the Sun, at the temperature of 15 million degrees and with a density of about 150 gr cm<sup>-3</sup>, the hydrogen burning does not take place in one step only but it proceeds through series of two body reactions: the proton-proton (pp) chain and the CNO cycle. The relative importance of the different reactions is determined by the abundance of the nuclear species which are fusing together and by their fusion cross section at the Gamow peak energy.

## 5.1 proton-proton chain

The first step and bottleneck of the chain is the production of deuterium (48). It takes place through two different weak processes (Fig. 4):  ${}^{1}H(p,e^{+}\nu){}^{2}H$  (giving rise to the so called pp neutrinos) and  ${}^{1}\text{H}(pe^{-},\nu){}^{2}\text{H}$  (pep neutrinos). The latter, being a three body process, is strongly suppressed (about a factor 400) as compared to the former. Once produced, the deuterium quickly burns with hydrogen to synthesize <sup>3</sup>He. At this point a complex and rich scenario opens with several possible branches.  ${}^{3}\text{He}(p,e^{+}\nu){}^{4}\text{He}$  (hep neutrinos) has a negligible rate since it is a weak process further suppressed, as compared to  ${}^{1}\mathrm{H}(\mathrm{p},e^{+}\nu){}^{2}\mathrm{H}$ , because of the atomic number of helium. The most probable fate of <sup>3</sup>He is to fuse with another <sup>3</sup>He nucleus to finally produce <sup>4</sup>He in the strong reaction <sup>3</sup>He(<sup>3</sup>He,2p)<sup>4</sup>He. In about 14% of the cases the fusion takes place with the much more abundant <sup>4</sup>He through the electromagnetic process  ${}^{3}\text{He}({}^{4}\text{He},\gamma){}^{7}\text{Be}$ . At this level the chain branches again due to the competition between the electron capture decay of <sup>7</sup>Be:  $^{7}\text{Be}(e^{-},\nu)^{7}\text{Li}$  ( $^{7}\text{Be}$  neutrinos) and the fusion of  $^{7}\text{Be}$  with hydrogen:  $^{7}\text{Be}(p,\gamma)^{8}\text{B}$ . The former is a weak process which is about a factor thousand more probable than the latter since it has no Coulomb barrier suppression. Once produced, <sup>7</sup>Li quickly fuses with hydrogen to produce <sup>8</sup>Be which is extremely unstable and splits into two helium nuclei.  ${}^{7}\text{Be}(p,\gamma){}^{8}\text{B}$  seldom occurs but it is of crucial importance since it leads to the 'high' energy neutrinos emitted in the <sup>8</sup>B decay to <sup>8</sup>Be.

## 5.2 Carbon-Nitrogen-Oxygen cycle

In the Carbon-Nitrogen-Oxygen cycle (CNO cycle) the conversion of hydrogen into helium is achieved with the aid of the carbon previously synthesized in older stars (3). Carbon works as a catalyst, it is not destroyed by the cycle and it strongly affects the rate of the CNO cycle with its abundance. Since  $^{15}N(p,\gamma)^{16}O$  has a cross section which is about a factor two thousand lower than  $^{15}N(p,\alpha)^{12}C$ , the second CNO cycle is strongly suppressed as compared to the first one. In the Sun the CNO cycle accounts for just a small fraction of the nuclear energy production (less than 1%) and it is ruled by  $^{14}N(p,\gamma)^{15}O$ , the bottleneck reaction. Only at the temperature of 20 millions degree it would give the same contribution as the pp chain, whereas it would dominate at higher temperatures, where the effects of the Coulomb barriers do not strongly affect anymore the energy production rate.

#### 5.3 Neutrinos

Neutrinos are particle which interact weakly with matter, they travel at a speed which is essentially the speed of light and they reach the Earth 8 minutes after their birth in the central region of the Sun. The calculation of their flux is straightforward when we know that hydrogen fusion  $4^1 \text{H} \Rightarrow ^4 \text{He} + 2e^+ + 2\nu_e$  is producing 26.7 MeV energy and if we make the much reasonable assumption that the present luminosity of the Sun corresponds to the present nuclear energy production rate (49) (it takes more than  $10^4$  years to the electromagnetic energy to reach the surface of the Sun). We only have to divide the solar luminosity,  $3.85 \cdot 10^{26}$  Watt, by the energy required to have one neutrino,  $13.35 \text{ MeV} \equiv 2.14 \cdot 10^{-12} \text{ Joule}$ , to obtain a rate of  $1.80 \cdot 10^{38}$  neutrinos per second. This corresponds to a flux of about 60 billions neutrinos per squared centimeter per second on the Earth. Instead, nuclear physics, in particular the cross section of the different reactions of the pp chain and of the CNO cycle, is the key ingredient to calculate the energy spectrum of the solar neutrinos: the different branches give rise to neutrinos of different energy.

In particular, pp neutrinos have a flux of  $6.04\cdot10^{10}$  cm<sup>-2</sup>s<sup>-1</sup> (50), corresponding to 92% of the total neutrino flux. Their continuous spectrum has the end-point energy of 0.42 MeV, which makes their detection extremely difficult. <sup>7</sup>Be neutrinos are produced with two different energies: 0.86 MeV (89.7% branching ratio) and 0.38 MeV. The 0.86 MeV <sup>7</sup>Be neutrinos are the second biggest component of the spectrum, amounting to 7% of the total with a flux of  $4.55\cdot10^9$  cm<sup>-2</sup>s<sup>-1</sup>. <sup>8</sup>B neutrinos have a much lower flux,  $4.72\cdot10^6$  cm<sup>-2</sup>s<sup>-1</sup>: fewer than one neutrino over ten thousand is coming from the <sup>8</sup>B decay. However, their relatively high end-point energy, about 15 MeV, makes their detection the least difficult one. As a matter of fact, <sup>8</sup>B neutrinos are the best studied neutrinos from the Sun so far. The CNO cycle is producing neutrinos with end-point energy of 1.20 MeV (<sup>13</sup>N) and 1.73 MeV (<sup>15</sup>O). The latest results of the standard solar model (50) predicts a 0.5% contribution of the CNO neutrinos to the total flux.

In 1964 J.N. Bahcall and R. Davis Jr. proposed to detect solar neutrinos in

order to see into the interior of the Sun and thus directly verify the hypothesis of nuclear energy generation in stars (51,52). About 40 years of much refined experimental and theoretical work have been required to show that the source of the energy radiated by the Sun is the hydrogen fusion in the solar interior. In addition, solar neutrinos told us something extremely important about the nature of neutrino itself: it oscillates. Produced as electron neutrino inside the Sun, it may be a muon or tau neutrino when reaching the Earth.

## 6 Hydrogen burning studied at LUNA

LUNA started as a pilot project in the year 1991. In the following paragraphs we will discuss the brush-strokes given by LUNA during the last 20 years to the current picture of the Sun and of the neutrino.

## 6.1 ${}^{2}\mathrm{H}(\mathrm{p},\gamma){}^{3}\mathrm{He}$ : the energy source of the proto-star

Inside the Sun, the  ${}^2{\rm H}(p,\gamma)^3{\rm He}$  reaction controls the equilibrium abundance of deuterium. In a different scenario,  ${}^2{\rm H}(p,\gamma)^3{\rm He}$  is the reaction which rules the life of proto-stars before they enter the main sequence phase. Proto-star models predict that a star forms by accretion of interstellar material onto a small contracting core. Until the temperature remains below  $10^6{\rm \,K}$ , the main source of energy is the gravitational contraction. When the temperature approaches  $10^6{\rm \,K}$  the first "nuclear fire" is switched on inside the star: the primordial deuterium is converted into  ${}^3{\rm He}$  via  ${}^2{\rm H}(p,\gamma)^3{\rm He}$ , thus providing 5.5 MeV for each reaction. The total amount of nuclear energy generated by this d-burning is comparable with the whole gravitational binding energy of the star. The on-set of d-burning slows down the contraction, increases the lifetime of the star and freezes its observational properties until the original deuterium is fully consumed. A reliable knowledge of the rate of  ${}^2{\rm H}(p,\gamma)^3{\rm He}$  down to a few keV (the Gamow peak in a proto-star) is a fundamental prerequisite for the proto-stellar models.

 $^{2}$ H(p, $\gamma$ ) $^{3}$ He is also a cornerstone in the big-bang nucleosynthesis (BBN). Because of the deuterium "bottleneck" (53), i.e. the photo-disintegration of deuterium, the formation of  $^{3}$ He is delayed until the temperature drops to about  $8\cdot10^{8}$  K. Once again, the knowledge of the cross section at low energies is required.

The  ${}^{2}\text{H}(p,\gamma){}^{3}\text{He}$  cross section measurement was performed at LUNA with the 50 kV accelerator connected to a differentially pumped gas-target system designed to fit the large BGO  $\gamma$ -ray detector (43). The BGO, placed around the deuterium target, was detecting the 5.5 MeV  $\gamma$ -ray with 70% efficiency. The LUNA results (29) are given in Fig. 5 together with two previous measurements (54,55) of the astrophysical factor S(E) at low energy. The agreement with the theoretical calculations is excellent (56).

## 6.2 <sup>3</sup>He(<sup>3</sup>He,2p)<sup>4</sup>He: in search of the resonance

The initial activity of LUNA has been focused on the  ${}^{3}\text{He}({}^{3}\text{He},2p){}^{4}\text{He}$  cross section measurement within the solar Gamow peak (15-27 keV). Such a reaction is a key one of the pp chain. A resonance at the thermal energy of the Sun was suggested long time ago (57,58) to explain the observed  ${}^{8}\text{B}$  solar neutrino flux. Such a resonance would decrease the relative contribution of the alternative reaction  ${}^{3}\text{He}({}^{4}\text{He},\gamma){}^{7}\text{Be}$ , which generates the branch responsible for  ${}^{7}\text{Be}$  and  ${}^{8}\text{B}$  neutrino production in the Sun.

The final set-up was made of eight 1 mm thick silicon detectors of  $5x5 \text{ cm}^2$  area placed around the beam inside the windowless target chamber filled with <sup>3</sup>He at the pressure of 0.5 mbar. The simultaneous detection of two protons has been the signature which unambiguously identified a <sup>3</sup>He(<sup>3</sup>He,2p)<sup>4</sup>He fusion reaction (Q-value: 12.86 MeV). Fig. 6 shows the results from LUNA (27,28) together with higher energy measurements (59,60,61) of the astrophysical factor S(E).

For the first time a nuclear reaction has been measured in the laboratory at the energy occurring in a star. Its cross section varies by more than two orders of magnitude in the measured energy range. At the lowest energy of 16.5 keV it has the value of 0.02 pb, which corresponds to a rate of about 2 events/month, rather low even for the "silent" experiments of underground physics. No narrow resonance has been found within the solar Gamow peak and, as a consequence, the astrophysical solution of the <sup>8</sup>B and <sup>7</sup>Be solar neutrino problem based on its existence has been ruled out.

## 6.3 ${}^{3}\text{He}({}^{4}\text{He},\gamma){}^{7}\text{Be}$ : solar neutrino oscillations

 $^3$ He( $^4$ He, $\gamma$ ) $^7$ Be (Q-value: 1.586 MeV) is the key reaction for the production of  $^7$ Be and  $^8$ B neutrinos in the Sun since their flux depends almost linearly on its cross section. Unless a recoil separator is used (62), the cross section can be determined either from the detection of the prompt  $\gamma$  rays (63,64,65,66,67,68,69) or from the counting of the produced  $^7$ Be nuclei (67,70,71,72,62). The latter requires the detection of the 478 keV  $\gamma$  due to the excited  $^7$ Li populated in the decay of  $^7$ Be (half-life: 53.22 days).

Both methods have been used in the past to determine the cross section in the energy range  $E_{c.m.} \geq 107$  keV but the  $S_{3,4}$  extracted from the measurements of the induced <sup>7</sup>Be activity was 13% higher than that obtained from the detection of the prompt  $\gamma$ -rays (73).

The underground experiment has been performed with the <sup>4</sup>He<sup>+</sup> beam from the 400 kV accelerator in conjunction with a windowless gas target filled with <sup>3</sup>He at 0.7 mbar. The beam enters the target chamber and is stopped on the calorimeter (Fig. 3). The <sup>7</sup>Be nuclei produced by the reaction inside the <sup>3</sup>He gas target are implanted into the calorimeter cap which, after the irradiation, is removed and placed in front of a germanium detector for the measurement of the <sup>7</sup>Be activity.

In the first phase of the experiment, the  ${}^{3}\text{He}({}^{4}\text{He},\gamma){}^{7}\text{Be}$  cross section has been obtained from the activation data (38,39) alone with a total uncertainty of about

4%. In the second phase, a new high accuracy measurement using simultaneously prompt and activation methods was performed down to the center of mass energy of 93 keV. The prompt capture  $\gamma$ -ray was detected by a 135% germanium heavily shielded and placed in close geometry with the target. The spectrum taken at 250 keV beam energy is given in Fig. 7. The astrophysical factor obtained with the two methods (35) is the same within the quoted experimental error (Fig.8). Similar conclusions have then been reached in a new simultaneous activation and prompt experiment (74) which covers the  $E_{c.m.}$  energy range from 330 keV to 1230 keV.

The energy dependence of the cross section seems to be theoretically well determined at low energy. If we leave the normalization as the only free parameter, we can rescale the fit of (75) to our data and we obtain  $S_{3,4}(0)=0.560\pm0.017$  keV barn. Thanks to our small error, the total uncertainty on the <sup>8</sup>B solar neutrino flux goes from 12 to 10%, whereas the one on the <sup>7</sup>Be flux goes from 9.4 to 5.5% (35). The <sup>7</sup>Be flux is now theoretically predicted with an error as small as the experimental one which should soon be achieved by Borexino (76). Thanks to such small errors, it will be possible to have a precise study of the signature typical of neutrino oscillations in matter, i.e. the energy dependence of the oscillation probability.

The energy window covered by LUNA is above the solar Gamow peak but well within the Gamow peak of big-bang nucleosynthesis. Our precise results clearly rule out the  ${}^{3}\text{He}({}^{4}\text{He},\gamma){}^{7}\text{Be}$  cross section as possible source of the discrepancy between the predicted primordial  ${}^{7}\text{Li}$  abundance (77) and the much lower observed value (78,79).

# 6.4 ${}^{14}{\rm N}({\rm p},\gamma){}^{15}{\rm O}$ : the composition of the Sun and the age of the Universe

 $^{14}$ N(p, $\gamma$ ) $^{15}$ O (Q-value: 7.297 MeV) is the slowest reaction of the CNO cycle and it rules its energy production rate. In particular, it is the key reaction to know the  $^{13}$ N and  $^{15}$ O solar neutrino flux, which depends almost linearly on its cross section, as well as to determine the age of the globular clusters, which, consisting of  $10^4$ - $10^6$  gravitationally bound stars, are the oldest population of the galaxies. The luminosity of the turn-off point in the Hertzsprung-Russel diagram of a globular cluster, i.e. the point where the main sequence turns toward cooler and brighter stars, is used to determine the age of the cluster and to derive a lower limit on the age of the Universe (80). A star at the turn-off point is burning hydrogen in the shell through the CNO cycle, this is the reason why the  $^{14}N(p,\gamma)^{15}O$  cross section plays an important role in the age determination.

In the first phase of the LUNA study, data have been obtained down to 119 keV energy with solid targets of TiN and a 126% germanium detector. This way, the five different radiative capture transitions which contribute to the  $^{14}{\rm N}({\rm p},\gamma)^{15}{\rm O}$  cross section at low energy were measured. The total cross section was then studied down to very low energy in the second phase of the experiment by using the  $4\pi$  BGO summing detector placed around a windowless gas target filled with

nitrogen at 1 mbar pressure (the BGO spectrum at 100 keV beam energy is shown in Fig. 9). At the lowest center of mass energy of 70 keV a cross section of 0.24 pbarn was measured, with an event rate of 11 counts/day from the reaction.

The results obtained first with the germanium detector (30,31) and then with the BGO set-up (32) were about a factor two lower than the existing extrapolation (81, 73, 82) from previous data (83, 84) at very low energy (Fig.10), while in agreement with results from indirect methods (85, 86, 87, 88, 89, 90).

As a consequence, the CNO neutrino yield in the Sun is decreased by about a factor two, and the age of the globular clusters is increased by 0.7-1 billion years (91) up to 14 billion years. The lower cross section is affecting also stars which are much more evolved than our Sun: in particular, the dredge-up of carbon to the surface of asymptotic giant branch stars (92) is more efficient (93).

The main conclusion from the LUNA data has been confirmed by an independent study at higher energy (94). However, there is a 15% difference between the total S-factor extrapolated by the two experiments at the Gamow peak of the Sun. In particular, this difference arises from the extrapolation of the capture to the ground state in <sup>15</sup>O, a transition strongly affected by interference effects between several resonances and the direct capture mechanism.

In order to provide precise data for the ground state capture, a third phase of the  $^{14}N(p,\gamma)^{15}O$  study has been performed with a composite germanium detector in the beam energy region immediately above the 259 keV resonance, where precise data effectively constrain a fit for the ground state transition in the Rmatrix (95) framework. This way the total error on the S-factor was reduced to 8%:  $S_{1.14}(0)=1.57\pm0.13$  keV barn (34). This is significant because, finally solved the solar neutrino problem, we are now facing the solar composition problem: the conflict between helioseismology and the new metal abundances that emerged from improved modeling of the photosphere (50, 96). Thanks to the relatively small error, it will soon be possible to measure the metallicity of the core of the Sun (i.e. the contents of elements different from hydrogen and helium) by comparing the detected CNO neutrino flux with the predicted one. As a matter of fact, the CNO neutrino flux is decreased by about 35% in going from the high to the low metallicity scenario. This way it will be possible to test whether the early Sun was chemically homogeneous (97), a key assumption of the standard Solar Model.

#### 6.5 Ongoing measurements

The solar phase of LUNA has almost reached the end. A new and rich program of nuclear astrophysics mainly devoted to the Mg-Al and Ne-Na cycles has already started at the 400 kV facility about 2 years ago with the measurement of  $^{25}$ Mg(p, $\gamma$ ) $^{26}$ Al (98). These cycles become important for second generation stars with central temperatures and masses higher than those of our Sun (3,5). Due to the higher Coulomb barriers, these cycles are relatively unimportant for energy generation while being essential for the nucleosynthesis of elements with mass number higher than 20. Low energy resonances (or the low energy part of the direct capture) inaccessible in a laboratory at the Earth surface, could become

measurable underground. Some of the selected reactions have already been measured over ground but an underground re-investigation can substantially improve the knowledge of the related reaction rate in the different astrophysical scenarios responsible, in particular, of the texture of the isotopes which are filling the Universe.

LUNA is now measuring  $^{15}\text{N}(p,\gamma)^{16}\text{O}$  with enriched  $^{15}\text{N}$  targets.  $^{15}\text{N}(p,\gamma)^{16}\text{O}$  is the leak reaction from the first to the second CNO cycle. The results already obtained with nitrogen of natural isotopic composition  $(0.366\%~^{15}\text{N})$  (37) extend to energies lower than ever measured before and provide a cross section which is about a factor two lower than previously believed at novae energies.

The measurement under preparation is not connected to the hydrogen burning cycles but it is a key reaction of big-bang nucleosynthesis:  ${}^{2}\text{H}({}^{4}\text{He}, \gamma)^{6}$  Li. As a matter of fact, such reaction determines the amount of primordial  ${}^{6}\text{Li}$  in the Universe. Recently, the  ${}^{6}\text{Li}$  isotope has been detected in a number of metal poor stars (99, 100) and its quantity has been found to be 2-3 orders of magnitude higher than what expected from BBN (77).

## 7 Outlook

As we have seen, the approach to measure cross sections directly at the energy of astrophysical interest has been used with great success for the reactions governing stellar hydrogen-burning. In order to keep pace with the rapid progress of observational astronomy and astrophysical modeling, the same should now be done for the nuclear reactions governing helium and carbon burning and producing inside stars the neutrons which give rise to the so-called astrophysical s-process. Owing to the different nature of these reactions, new techniques and new equipment are necessary for this task.

The  $^{12}\text{C}(\alpha,\gamma)^{16}$  O reaction is often referred to as the "Holy Grail" of nuclear astrophysics (101,102,103,104,105,106). It plays a fundamental role in the evolution of stars during the helium-burning phase and determines the abundance ratio between carbon and oxygen, the two elements of fundamental importance to the development of life. This abundance ratio, in turn, influences the nucleosynthesis of elements up to the iron peak for massive stars, the cooling timescale of white dwarfs and the properties of thermonuclear as well as core collapse supernovae.

The <sup>12</sup>C+<sup>12</sup>C fusion reactions form the onset of carbon burning. Their rate determines the evolution of massive stars up to a modest end as a white dwarf or a fiery death as core-collapse supernovae (115,116). It also affects the ignition conditions and time scales of thermonuclear supernovae. Recent studies, while uncovering interesting facts (117,111), stopped short of the astrophysical energy range due to the high laboratory background.

The  $^{13}\mathrm{C}(\alpha,\mathrm{n})^{16}\mathrm{O}$  and  $^{22}\mathrm{Ne}(\alpha,\mathrm{n})^{25}\mathrm{Mg}$  reactions provide the neutrons for the build-up of the s-process isotopes (118, 119, 102, 120, 113). Most of the elements heavier than iron are produced through this process, which involves a series of subsequent neutron captures and  $\beta$ -decays.

In order to address these exciting cases, it has been called for the installation

of a new accelerator with a larger voltage up to a few MV in a deep underground laboratory (121). In addition to protons and  $\alpha$ -particles, it should also be able to accelerate ions up to carbon or even oxygen, so that also carbon burning can be studied. As to the beam current, for all these ions an intensity of typically 1 mA will be required for the necessary sensitivity.

Such a project is presently under discussion at several different sites in Europe and North America. It would be natural to install a new underground accelerator at the site of the present LUNA machine, the LNGS laboratory, with its excellent infrastructure and proven low background. However, due to limited underground laboratory space there, also possible other sites must be actively studied.

One recent example is the Canfranc laboratory in Spain (122), which is less well-shielded (2400 m.w.e.) than Gran Sasso and therefore has a higher remaining muon flux. However, at the present LUNA site the background is dominated by neutrons, not muons, (15), and the neutron flux at the two sites is actually comparable. The access to this site is by horizontal road tunnel, facilitating the installation of complex, maintenance-intensive equipment like an accelerator.

In the United States, two accelerators with connected beamlines are included in the DUSEL underground science facility planned at the site of the previous Homestake experiment (123). One machine should have similar tasks as the present LUNA 400 kV accelerator, but with greatly increased beam intensity, whereas the second accelerator should be in the MV range and address the science cases described above.

A different approach is followed in two projects in Boulby (United Kingdom, 2800 m.w.e.) and Praid (Romania, 900 m.w.e.) (124, 125): Here the laboratory should be placed in a salt matrix deep underground, with its generally much lower levels of uranium and thorium and, therefore, also lower neutron flux.

Regardless of the outcome of the ongoing siting discussion, both astrophysics and nuclear physics will greatly benefit from the new precision that will be enabled by a future, higher-voltage accelerator underground. A better understanding of nuclear burning in stars by direct new data will allow to model stellar scenarios that are now understood only in general terms. In addition, improved experimental data for nuclear fusion reactions near or below the Coulomb barrier will open a fresh challenge for theoretical modeling of these reactions.

#### 8 Conclusions

LUNA started underground nuclear astrophysics twenty years ago in the core of Gran Sasso, below 1400 meters of dolomite rock. The extremely low background has allowed for nuclear physics experiments with very small count rate, down to a few events per year. The important reactions responsible for the hydrogen burning in the Sun have been studied for the first time down to the relevant stellar energies. As a consequence, fifty years after the first pioneering cross section measurements, nuclear physics is not anymore an important error source of the solar model and solar neutrinos can now be exploited to probe the deep interior of the Sun. When applied to astrophysical scenarios different from the

Sun, LUNA results increase the limit on the age of the Universe up to 14 billion years.

LUNA has already experienced the important progress achievable in the comprehension of the hydrogen burning thanks to the underground environment. In the next two decades underground nuclear astrophysics will try to reach similar results in the study of the helium and carbon burning and of the neutron sources in the stars.

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Table 1: Nuclear reactions of astrophysical interest recommended for study at

future	underground	accelerator	facilities.
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Type	Reaction	Q-value [MeV]	$E_{\text{Gamow}} [\text{keV}]$	Reference
$(\alpha,\gamma)$	$^{2}\mathrm{H}(\alpha,\gamma)^{6}\mathrm{Li}$	1.5	100-500	(12)
(4,7)	$^{12}\mathrm{C}(\alpha,\gamma)^{16}\mathrm{O}$	7.2	300	(107, 108, 106)
	$^{14}\mathrm{N}(\alpha,\gamma)^{18}\mathrm{F}$	4.4	200-700	(109)
	$^{15}\mathrm{N}(\alpha,\gamma)^{19}\mathrm{F}$	4.0	500	(110)
<sup>12</sup> C-induced	$^{12}{\rm C}(^{12}{\rm C},\alpha)^{20}{\rm Ne}$	4.6	1500	(111,12)
	$^{12}C(^{12}C,p)^{23}Na$	2.2	1500	(111, 12)
$(\alpha, n)$	$^{13}C(\alpha,n)^{16}O$	2.2	200	(112, 113)
	$^{22}\mathrm{Ne}(\alpha,\mathrm{n})^{25}\mathrm{Mg}$	-0.5	500	(114)

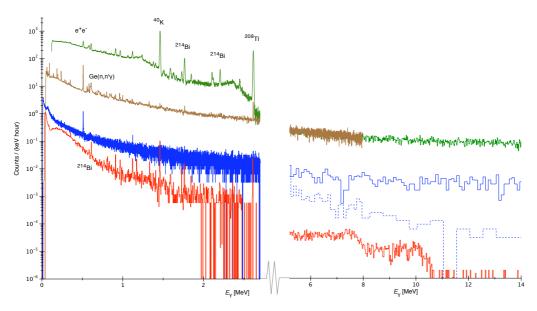


Figure 1: Laboratory  $\gamma$ -ray background measured with a 100% relative efficiency germanium detector at  $E_{\gamma} < 2.7\,\mathrm{MeV}$  and with a BGO detector (scaled for equal volume with the germanium) for 5.2 MeV  $< E_{\gamma} < 14\,\mathrm{MeV}$ . Green: Earth's surface, no shield. Brown: Earth's surface, lead shield. Blue: 110 m.w.e. underground Felsenkeller laboratory (Dresden), lead shield (11). Blue dashed line: actively vetoed spectrum in the 110 m.w.e. underground Felsenkeller lab. Red: 3800 m.w.e. LUNA lab, lead shield for the germanium (12), no lead shield for the BGO (15).

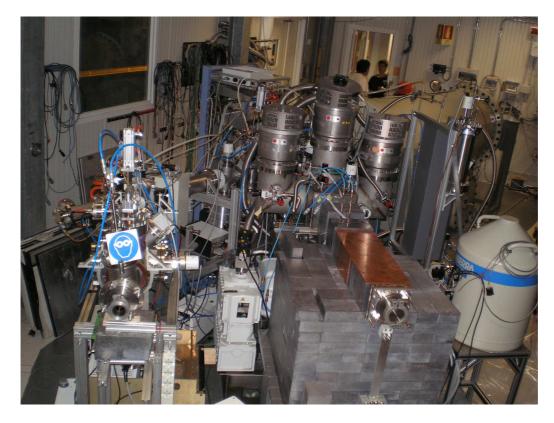


Figure 2: The LUNA set-up with the two different beam lines in the foreground and the accelerator in the back. The beam line to the left is dedicated to the measurements with solid target whereas the one on the right hosts the windowless gas target. The set-up for the study of  ${}^{3}\text{He}({}^{4}\text{He},\gamma){}^{7}\text{Be}$  is shown during installation with the shield only partially mounted.

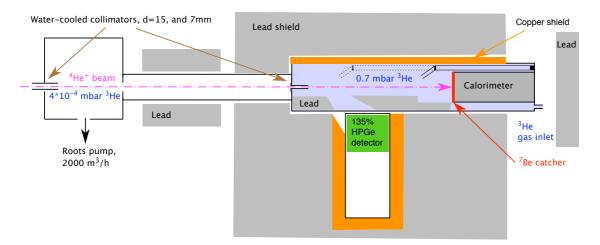


Figure 3: The set-up for the study of  ${}^{3}\text{He}({}^{4}\text{He},\gamma){}^{7}\text{Be}$ . The device to detect Rutherford scattering, the calorimeter and the germanium detector are indicated.

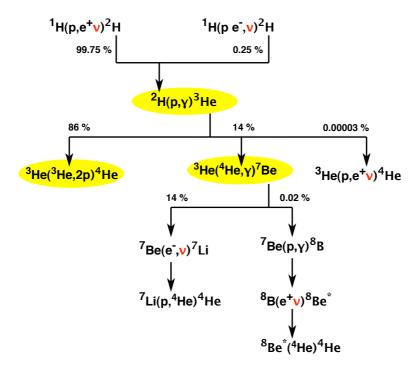


Figure 4: The proton-proton chain. The reactions studied by LUNA are highlighted in yellow.

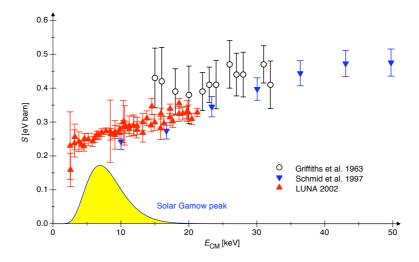


Figure 5: The  ${}^2{\rm H}({\rm p},\gamma){}^3{\rm He}$  astrophysical factor S(E) with the total error.

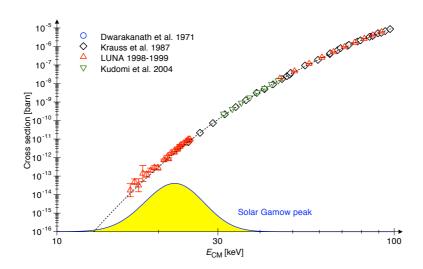


Figure 6: Cross section of the  ${}^{3}\text{He}({}^{3}\text{He},2\text{p}){}^{4}\text{He}$  reaction. Data from LUNA (27,28) and from other groups (59,60,61). The line is the extrapolation based on the measured S(E)-factor (28).

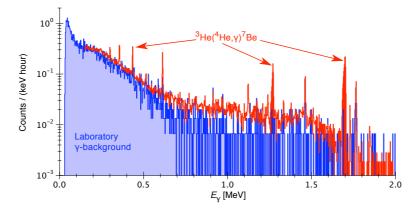


Figure 7:  ${}^{3}\text{He}({}^{4}\text{He},\gamma){}^{7}\text{Be}$  spectrum at 250 keV beam energy (red) and the laboratory background (blue).

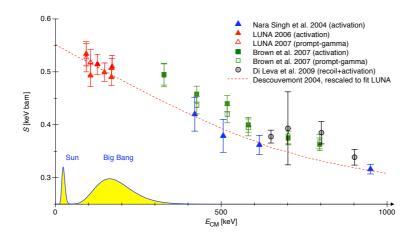


Figure 8: Astrophysical S(E)-factor for  ${}^{3}\text{He}({}^{4}\text{He},\gamma){}^{7}\text{Be}$ . The results from the modern, high precision experiments are shown with their total error.

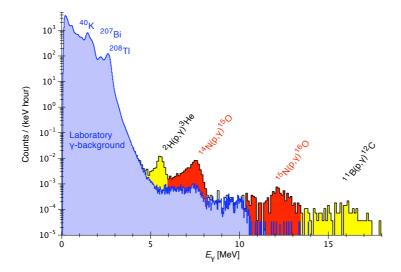


Figure 9: BGO spectrum taken with 100 keV proton beam on Nitrogen of natural isotopic abundance. Red: peaks from  $^{14}{\rm N}({\rm p},\gamma)^{15}{\rm O}$  and  $^{15}{\rm N}({\rm p},\gamma)^{16}{\rm O}$ . Yellow: beam induced background. Blu: laboratory background. In spite of the small isotopic abundance of  $^{15}{\rm N}$  (0.366% only) the peak due to  $^{15}{\rm N}({\rm p},\gamma)^{16}{\rm O}$  can be easily seen thanks to the much reduced background.

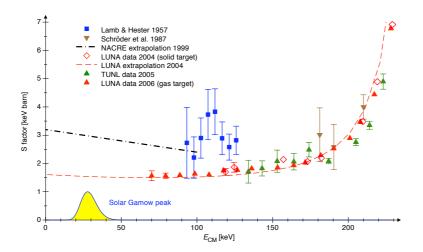


Figure 10: Astrophysical S(E)-factor of the  $^{14}{\rm N}({\rm p},\gamma)^{15}{\rm O}$  reaction. The errors are statistical only (the systematic ones are similar).